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November 10, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Chang, Tenny; et. al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Vessel for Anastomosis  
Serial No.: 10/607,524  
Examiner: Diane D. Yabut Filed: June 26, 2003  
Docket No.: 133 Group Art Unit: 3734

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement; and
- (3) Form PTO-1449.

Please charge \$180.00 to Deposit Account Number 502018 under 37 CFR 1.17(p) for submission of an Information Disclosure Statement after mailing of an Office Action.

Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502108.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. (571) 273-8300) on November 10, 2006.

  
\_\_\_\_\_  
Brian A. Schar

Respectfully submitted,



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chang, Tenny; et. al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Vessel For Anastomosis  
Serial No.: 10/607,524 Filing Date: June 26, 2003  
Examiner: Diane D. Yabut Group Art Unit: 3734  
Docket No.: 133

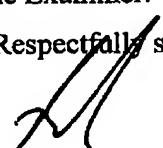
## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

Respectfully submitted,



Brian A. Schar  
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Substitute for form 1449A/PTO			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			
<i>Complete if Known</i>			
<b>Application Number</b>		10/607,524	
<b>Filing Date</b>		June 26, 2003	
<b>First Named Inventor</b>		Chang, Tenny	
<b>Art Unit</b>		3734	
<b>Examiner Name</b>		Diane D. Yabut	
Sheet	1	of	1
Attorney Docket No: 133			

**US PATENT DOCUMENTS**

Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-5376095	12/27/1994	Ortiz, Mark S.	
		US-5620452	04/15/1997	Yoon, InBae	
		US-6254617	07/03/2001	Spence, Paul A., et al.	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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